

**SARDAR PATEL UNIVERSITY
VALLABH VIDYANAGAR**

PART - II

**PBAS Proforma for calculating API for the post of
Associate Professor and Professor**

PART B : ACADEMIC PERFORMANCE INDICATORS

Applicable for the post of Associate Professor / Professor

Name of the candidate	:	
Post applied for	:	
Department	:	

Assessment Period	From		To	
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**CATEGORY-III
RESEARCH AND ACADEMIC CONTRIBUTIONS**

III A Details of Research Papers Published

A.1 Refereed Journals

Sr. No.	Title of the Article	Author	Co-Authors	Name of the Journal Vol. No. pp & year	International / National	Impact factor as it published	API Score	Encl. Page No	API Score (for office use)

A.2 Non-refereed Journals with ISSN / ISBN

Sr. No.	Title of the Article	Author	Co-Authors	Name of the Journal Vol. No. pp	International / National	ISBN / ISSN	API Score	Encl. Page No	API Score (for office use)

A.3 Full paper published in the proceeding of Conference / Seminars / Symposia, etc.

Sr. No.	Title of the Article	Author	Co-Author(s)	Name of the Programme	Organizer	Dates	ISBN/ ISSN if any	API Score	Encl. Page No	API Score (for office use)

No.	APIs	Engineering/Agriculture/ Veterinary Science/Sciences/Medical Sciences	Faculties of Languages Arts/Humanities/Social Sciences/Library/ Physical education/Management	Max. points for University and college teacher position
1	2	3	4	5
III A	Research Papers published in:	Refereed Journals *	Refereed Journals*	15 / publication
		Non-refereed but recognized Non-refereed but recognized and reputable journals and periodicals, having ISBN/ISSN numbers.	Non-refereed but recognized Non-refereed but recognized and reputable journals and periodicals, having ISBN/ISSN numbers.	10/ publicatio n
		Conference proceedings as full papers, etc. (Abstracts not to be included)	Conference proceedings as full papers, etc. (Abstracts not to be included)	10/ publication

III B Research Publications

B.1 Text or reference books by International publisher

Sr. No.	Title of the Book	Author	Co-Author(s)	Name of the Publisher, Month, Year	ISBN / ISSN	API Score	Encl. Page No	API Score (for office use)

B.2 Subject books by national level publishers / State and Central Govt. publications with ISBN / ISSN Number.

Sr. No.	Title of the Book	Author	Co-Author(s)	Name of the Publisher, Month, Year	ISBN / ISSN	API Score	Encl. Page No	API Score (for office use)

B.3 Subject books by other local publishers with ISBN / ISSN Number.

Sr. No.	Title of the Book	Author	Co-Author(s)	Name of the Publisher, Month, Year	ISBN / ISSN	API Score	Encl. Page No	API Score (for office use)

B.4 Chapters contributed to edited vol. published by International publishers.

Sr. No.	Title of the Book	Name of the Editor	Chapter contributed	Author / Co-Author(s)	Name of the Publisher	ISBN / ISSN	API Score	Encl. Page No	API Score (for office use)

B.5 Chapters in national level publishers with ISBN/ISSN and with numbers of national and international directories.

Sr. No.	Title of the Book	Name of the Editor	Chapter contributed	Author / Co-Author(s)	Name of the Publisher	ISBN / ISSN	API Score	Encl. Page No	API Score (for office use)

1	2	3	4	5	6
III (B)	Research Publications (books, chapters in books, other than refereed journal articles)	Text or Reference Books Published by International Publishers with an	Text or Reference Books Published by International Publishers with an	50 /sole author, 10/ chapter in	
		Subjects Books by National level publishers/State and Central Govt. Publications with ISBN/ISSN numbers.	Subject Books by / national level publishers/State and Central	25 /sole author and 5/ chapter in edited books	
		Subject Books by Other local publishers with ISBN/ISSN numbers.	Subject Books by Other local publishers with ISBN/ISSN numbers.	15 / sole author, and 3 / chapter in edited	
		Chapters contributed to edited knowledge based volumes published by International Publishers	Chapters contributed to edited knowledge based volumes published by	10/ Chapter	
		Chapters in knowledge based volumes by Indian /National level publishers with ISBN/ISSN numbers and with numbers of national and	Chapters in knowledge based volumes in Indian /National level publishers with ISBN /ISSN numbers and with numbers of national and international directories	5 / Chapter	

III C Details of Research Projects

C.1 Sponsored Major / Minor Research Projects Carried out / ongoing

Sr. No.	Title of the Project	Funding Agency	Grant Sanctioned	Duration		Project Status Completed / on- going	Project Type Research/ Consult	Policy Document	API Score	Encl. Page No	API Score (for office use)
				From	To						

C.2 Consultancy Research Projects Carried out / ongoing

Sr. No.	Title of the Project	Funding Agency	Grant Sanctioned	Duration		Project Status Completed / on- going	Project Type Research/ Consult	Policy Document	API Score	Encl. Page No	API Score (for office use)
				From	To						

C.3 Completed Projects : Quality Evaluation

Sr. No.	Title of the Project	Funding Agency	Grant Sanctioned	Duration		Project Status Completed / on- going	Project Type Research/ Consult	Policy Document	API Score	Encl. Page No	API Score (for office use)
				From	To						

C.4 Research Projects Outcome / Outputs

Sr. No.	Title of the Project	Funding Agency	Grant Sanctioned	Duration		Project Status Completed / on- going	Project Type Research/ Consult	Policy Document	API Score	Encl. Page No	API Score (for office use)
				From	To						

III (C) RESEARCH PROJECTS					
1	2	3	4	5	6
III (C) (i)	Sponsored Projects carried out/ ongoing	(a) Major Projects amount mobilized with grants above	Major Projects amount mobilized with grants above	20 /each Project	
		(b) Major Projects amount mobilized with grants above 5.0 lakhs up to 30.00 lakhs	Major Projects Amount mobilized with min.of Rs.3.00 lakhs up to Rs.5.00 lakhs	15 /each Project	
		© Minor Projects (Amount mobilized with grants above Rs. 50,000 up to Rs. 5 lakh)	Minor Projects (Amount mobilized with grants above Rs. 25,000 up to Rs. 3	10/each Project	
III (C) (ii)	Consultancy Projects carried out / ongoing	Amount mobilized with minimum of Rs.10.00 lakh	Amount mobilized with minimum of Rs. 2.0 lakhs	10 per every Rs.10.0 lakhs & Rs.2.0 lakhs, respectively	
III (C) (iii)	Completed projects : Quality Evaluation	Completed project report (Accepted by funding agency)	Completed project report (Accepted by funding agency)	20 /each major project and 10 / each minor project	
III (C) (iv)	Projects Outcome/ Outputs	Patent/Technology transfer / Product/Process	Major Policy document of Govt. Bodies at Central and State level	30 / each national level output or patent /50 /each for International level,	

III D Research Guidance

D.1 Details of M. Phil. Awarded

Sr. No.	Name of the Scholar	Title of the Thesis	Status (Submitted/ Awarded)	Awarded	University	Month and Year	API Score	Encl. Page No	API Score (for office use)

D.2 Details of Ph.D. awarded / Thesis submitted

Sr. No.	Name of the Scholar	Title of the Thesis	Status (Submitted/ Awarded)	Name of the University	Month and Year	API Score	Encl. Page No	API Score (for office use)

III (D) RESEARCH GUIDANCE					
1	2	3	4	5	6
III (D) (i)	M.Phil.	Degree awarded only	Degree awarded only	3 /each candidate	
III (D) (ii)	Ph.D.	Degree awarded	Degree awarded	10 /each candidate	
		Thesis submitted	Thesis submitted	7 /each candidate	

III E Training Courses and Conferences / Seminars / Workshop Papers

E.1 Refresher/Orientation Course, Methodology Workshop, SSD, FDP attended

Event	Sr. No.	Organizer	Place	Period	Duration		API Score	Encl. Page No	API Score (for office use)
					From	To			
Refresher Courses / Training Programmes									
Methodology Workshop									
Conference / Seminars / Workshop									
Teaching-learning-evaluation Programme									
Soft skill development									
Faculty Development Programme									

III (E) TRAINING COURSES AND CONFERENCE / SEMINAR / WORKSHOP PAPERS					
III (E) (i)	Refresher courses, Methodology workshops, Training, Teaching-Learning Evaluation Technology Programmes, Soft Skills development Programmes, Faculty Development Programmes (Max:30 points)	(a) Not less than two weeks duration	(a) Not less than two weeks duration	20 / each	
		(b) One week duration	(b) One week duration	10 / each	

E.2 Details of papers presented in Seminars/Conferences, etc., which are not covered under Category III A

Sr. No.	Title of the Article	Author	Co-Author(s)	Name of the Programme	Organiser	Date	Level : International / National/ Regional	API Score	Encl. Page No	API Score (for office use)

III (E) (ii)	Papers in Conferences/ Seminars/ workshops etc.**	Participation and Presentation of research papers (oral/		Participation and Presentation of research papers			
		a) International conference	a) International conference	10/each			
		b) National	b) National	7.5 / each			
		c) Regional/State level	c) Regional/State level	5 / each			
		d) Local–University/College level	d) Local–University/College level	3 / each			

E.3 Details of invited Lectures / Presentations in Conferences / Seminars, etc.

Name of the Programme	Organiser of the Programme	Level : International / National/\	Topic	Date	API Score	Encl. Page No	API Score (for office use)

III (E) (iii)	Invited lectures or presentations for conferences/	a) International	a) International	10/each	
		b) National level	b) National level	5/ each	

Total API Score
(A+B+C+D+E)

API Score	API Score (for Office Use)

I certify that the information provided is correct and best of my knowledge.

Place & Date:

Signature of Candidate

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Application for the post of Associate Professor / Professor

Name of the candidate	:	
Post applied for	:	
Department	:	

SUMMARY OF API SCORE

Summary of Total API Score obtained for Category III (A+B+C+D+E)						
Assessment Period from _____ to _____						
Year	API Score					Total API Score
Entire Assessment Period	A	B	C	D	E	
from _____ to _____						
Total API Score						
Capping	30%	25%	20%	10%	15%	
Total API Score after Capping						

Signature of Candidate

Application for the post of Associate Professor / Professor

Name of the candidate	:	
Post applied for	:	
Department	:	

Major Publications for assessment by the Experts

Sr. No.	Title of the Paper/ Book	Publisher	Edition	Date of Publication	Name of Co-Authors
1					
2					
3					
4					
5					

Date of Placement in Assistant Professor Stage-II / Lecturer (Senior Scale)

: / /

Note 1 : 10 best major publications for assessment in case of Professor.
05 best major publications for assessment in case of Associate Professor.

Note 2: For the post of Professor, Candidate shall have to submit the publications from which he/she was placed in the Assistant Professor stage-II (AGP Rs.7,000/-) or Lecturer (Senior Scale).

Signature of Candidate

Sardar Patel University
Vallabh Vidyanagar

Guidelines for API Score calculation regarding UGC Regulations, 2010
Calculation of API score for Category –III

RESEARCH PUBLICATIONS :

• **Research Papers**

- 15 points per publication in refereed journals.
- 10 points per publication in non-refereed but recognized and reputed journal with ISSN/ISBN numbers.
- 10 points per publication in conference proceedings as full papers.

Augmentation in API scores for papers in referred journals.

- 5 points of indexed; 10 points for impact factor between 1 & 2, 15 points for impact factor between 2 & 5 and 25 points for impact factor between 5 & 10.
- For example if a research paper is published in a refereed indexed journal with impact factor 3.17, then the total API score for that publication will be 15+5+15=35. In case a research paper is published in a referred indexed journal with impact factor 0.56, then the total API score for the publication will be 15+5=20. If a research paper is not published in any refereed journal but in a journal having ISSN/ISBN number, then there will not be any augmentation of points to the total points (which is 10) for such a publication.

Calculation of API scores for joint publications.

- In case of the 60% of API are to be distributed among more than one author, each will be allotted 60%. The same will be the rule to distribute 40% of API.

• **Books, Chapters in Books, other than Referred Journal Articles**

- Text or reference Books published by International Publishers with an established peer review system.

50 points per sole author : In case of joint authorship, points will be equally distributed. For instance if there are THREE authors say X, Y and Z for a book then X, Y and Z will get 17 points each.

10 points per chapter in an edited book : Maximum points for writing chapters in an edited book can not be more than 50 for sole author. In case there are THREE authors writing a chapter in an edited book, then 10 points will be distributed among them and each author will get 4 points.

- Subjects Books by National level publishers with ISBN/ISSN numbers.

25 points per sole author : In case of joint authorship, points will be equally distributed. For instance if there are THREE authors say X, Y and Z for a book then X, Y and Z will get 9 points each.

5 points per chapter in an edited book : Maximum points for writing chapters in an edited book can not be more than 25 for sole author. In case there are THREE authors writing a chapter in an edited book, then 5 points will be distributed among them and each author will get 2 points.

Similar distribution of points for other cases under this category

• **RESEARCH PROJECTS :**

- **20 points** per major projects above Rs.30 lacs (above Rs.5 lacs for Languages/ Arts/ Social Sciences/ Management/ Humanities etc.) In case a project has Co-investigators/ Co-ordinator/ Project team members then each will get 60% of the total API .
- **15 points** per major projects above Rs.5 lacs up to Rs.30 lacs (above Rs.3 lacs up to Rs. 5 lacs for Languages/Arts /Social Sciences Management/ Humanities etc.) In case a project has Co-investigators/ Co-ordinator/ Project team members then each will get 60% of the total API.
- 10 points per minor projects above Rs. 50,000/- up to Rs. 5 lacs (above Rs. 25,000/- up to Rs. 3 lacs for Languages / Arts /Social Sciences / Management / Humanities etc.) In case a project has Co-investigators/ Co-ordinator/Project team members then each will get 60% of the total API.
- Points for the extension period or period after completion of minimum duration of Research Project shall not be considered.

• **TRAINING COURSES :**

- A Training/Refresher course of Two weeks or more will get 20 points and a one week course will be awarded 10 points. In this category, an applicant can obtain maximum 30 points.

ORAL /POSTER PRESENTATION IN CONFERENCE/SEMINAR/ WORKSHOP

- Paper presentation by the applicant in International conference will get 10 points; in National conference: 7.5 points.
- In case a paper presented is published as a full paper in a conference proceeding, then points will be given to the publication and not for the presentation.

INVITED LECTURES IN CONFERENCE / SYMPOSIA

- A talk delivered in an International Conference / Symposium will be awarded maximum 10 points (Due acknowledgement will be required from the host department abroad or from the organizer.)
- A talk delivered in a National Conference / Symposium will be awarded maximum 5 points (Due acknowledgement will be required from the host department abroad or from the organizer.)

• **CAPPING**

- The parameters listed in table of category-III (Research and Academic contributions) shall have following capping in relation to the total API score claimed by the candidates:-

III (A) & III (B) :	Research papers (Journals, etc) & Research publications (Books, etc)	55%
III (C):	Research Projects	20%
III (D):	Research Guidance	10%
III (E):	Training Courses and Conf/Seminar, etc	15%

Note :

- Candidates are requested to submit verifiable proof as evidence for which he/she wish to claim points. In case of ambiguity or absence of such proof points claimed by the candidate shall not be considered for API.
- Each proof submitted shall be in proper sequence with enclosure number. If candidate submits a proof for Research Paper for Category III.A.1. Referred Journal then enclosure page number should be A1.1 for 1st paper, for 2nd paper, A1.2, for 1st paper of category III.A.2. enclosure number A2.1 for 2nd paper A2.2 and so on for each category. Such enclosure number should be mentioned on proof enclosed with same numbers. Date of research papers should be highlighted.
- Following the application, each page should be numbered in sequence and should be mentioned against each claim.

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